

**Translation of the document “Electronic Auction (Id. No. REAL 2026/13)
Regulations for the Sale of Scrap Metal”**

1. General Provisions

- 1.1. The auction regulations (hereinafter – the Regulations) determine the procedure by which the electronic auction (hereinafter – the Auction) of ferrous scrap metal unsuitable for use in commercial activities of the limited liability company LDZ CARGO, registration No. 40003788421, legal address Dzirnavu street 147 k-1, Riga, LV-1050, Latvia (hereinafter – the Seller) is organized.
- 1.2. Method of sale – electronic auction with an ascending step.
- 1.3. The auction is organized on the electronic auction website <http://auctioncargo.ldz.lv> (hereinafter – EAW).
- 1.4. The auction organizer is the Auction Commission established by the Seller (hereinafter – the Commission).
- 1.5. Commencement of the auction – **July 23, 2026, at 13:00**. The bidding period for the first lot (Lot No.1) closes on **July 23, 2026, at 14:00**. The closing time of the bidding period for each subsequent lot is set at a 10 (ten) minute interval after the closing of the previous lot.
- 1.6. The Seller shall not compensate the Auction participants for any expenses and/or losses incurred in connection with the preparation for and participation in the Auction.
- 1.7. Personal data of natural persons included in the documents related to the Auction will be processed based on Article 6(1)(f) of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons concerning the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation), and Section 25, Paragraph one of the Personal Data Processing Law.

2. Information on Movable Property

- 2.1. The Seller sells at the Auction **3592 (three thousand five hundred ninety-two) tons of scrap metal** consisting of 164 (one hundred sixty-four) freight wagons unfit for operation (hereinafter – the Scrap Metal).
- 2.2. The 164 (one hundred sixty-four) freight wagons included in the Auction are sold as scrap metal, and any further use of the said freight wagons and their parts in rail freight transport and/or for any other economic activity in the status of a transport fixed asset is prohibited.
- 2.3. Paragraph 2.1 of the Regulations specifies the minimum weight of the Scrap Metal, determined using mathematical calculations and estimates, in accordance with the information provided in the technical documentation for the freight wagons.
- 2.4. The technical specification of the Scrap Metal (including information on its composition and location) is set out in Annex 1 to the Regulations.
- 2.5. The Scrap Metal must be removed from the Seller's territory no later than **45 (forty-five) calendar days** from the day of mutual signing of the purchase contract. The removal of the Scrap Metal shall be ensured by the buyer in accordance with a mutually agreed removal schedule, without exceeding the deadline for the removal of the Scrap Metal specified in this paragraph. In the event of a justified necessity, the Seller and the buyer may agree on an extension of the removal deadline, which shall not exceed 30 (thirty) days.
- 2.6. All expenses related to the removal of the Scrap Metal from the Seller's territory shall be borne by the buyer.

3. Starting Price of the Auction and the Bid Increment

3.1. The Scrap Metal is sold in 3 (three) lots.

3.2. Starting price of the Auction and the Bid Increment:

Lot No.	Description	Weight, t	Starting price of the Auction, EUR excl. VAT	Bid increment, EUR
1.	Oversized 5A scrap metal	1390	347 500	100
2	Oversized 5A scrap metal	1416	353 900	100
3	Oversized 5A scrap metal	786	199 300	100

3.3. An Auction participant may submit a bid for one or several Auction lots.

3.4. The right to conclude a contract for the purchase of a Scrap Metal lot shall be acquired by the Auction participant who is the first to bid the highest price for the lot.

3.5. Value added tax shall be applied to the purchase price in accordance with Section 143 of the Value Added Tax Law "Special tax application regime for the supply of scrap metal and related services".

3.6. If the purchase contract is concluded with a non-resident of a third country and the value added tax is paid, it shall be refunded within 5 (five) working days from the day when documents (consignment note SMGS and/or international road transport consignment note CMR, export customs declaration) certifying the removal of the property purchased at the Auction from the territory of the Republic of Latvia and the European Union are submitted to the Seller.

3.7. If the purchase contract is concluded with a non-resident of the European Union and the value added tax is paid, it shall be refunded within 5 (five) working days from the day when documents (consignment note SMGS and/or international road transport consignment note CMR, confirmation that the goods have been delivered to a European Union country) certifying the removal of the property purchased at the Auction from the Republic of Latvia are submitted to the Seller.

4. Announcement of the Auction

4.1. The Seller shall publish information regarding the auction in the official publication "Latvijas Vēstnesis", on the website <http://ldzcargo.ldz.lv>, and on the electronic auction website <http://auctioncargo.ldz.lv>.

5. Auction Security Deposit

5.1. For participation in the Auction, the Auction security deposit is set in the amount of EUR 20,000 (twenty thousand euros), regardless of the number of lots the participant bids for (hereinafter – the security deposit). The security deposit paid by the winner of the Auction shall be credited towards the purchase price of the Scrap Metal, whereas for other Auction participants, it shall be refunded within 7 (seven) working days after the end date of the Auction, provided that none of the cases specified in Paragraph 5.3 of these Regulations occur.

5.2. The security deposit must be paid and received in the Seller's settlement account No. LV08RIKO0000082999854, AS Luminor Bank, SWIFT code RIKOLV2X, by the date specified in Paragraph 6.4 of these Regulations, indicating the following purpose of payment in the payment document: "Security deposit for the scrap metal sale auction No. REAL 2026/13".

5.3. The security deposit shall not be refunded to:

5.3.1. any of the participants registered for the Auction if, during the Auction, none of the Auction participants or the sole participant of the Auction fails to bid at least the starting price of the Auction in any of the Auction lots;

5.3.2. the winner of the Auction if they refuse to conclude the purchase contract within the term specified by the Seller.

6. Auction Participants

- 6.1. A legal entity registered in the European Union or a third country (excluding the Russian Federation and the Republic of Belarus) may become an Auction participant:
 - 6.1.1. with an appropriate field of economic activity (NACE code of the company's type of activity), for example: wholesale of waste and scrap, recovery of sorted materials, etc.;
 - 6.1.2. with valid permits and/or licenses issued in accordance with the regulatory enactments governing the field of waste management (including Cabinet Regulation No. 960 of December 13, 2011 "Regulations Regarding the Procedure for Purchasing and Selling Ferrous and Non-ferrous Metal Scrap and Residues and Issuing Licenses for the Purchase of Metal Scrap and Residues in Latvia, as well as the Rate of State Fee for a License for the Purchase of Metal Scrap and Residues and the Procedure for Payment of State Fee", Cabinet Regulation No. 703 of September 13, 2011 "Regulations Regarding the Procedure for Issuing and Cancelling Waste Management Permits, the Procedure for Registration and Provision of Information by Waste Traders and Waste Management Intermediaries, as well as the State Fee and the Procedure for Payment Thereof", etc.) or, in cases where the Auction participant is registered outside the Republic of Latvia, valid permits and/or licenses issued by a competent institution in the country where the applicant is registered, in accordance with the regulatory enactments governing the field of waste management.
- 6.2. The Commission secretary shall not authorize a participant for the Auction to whom any of the following conditions apply:
 - 6.2.1. insolvency proceedings of the Auction participant have been declared, its economic activity has been suspended or terminated, or it is being liquidated;
 - 6.2.2. international or national sanctions, or sanctions imposed by a member state of the European Union or the North Atlantic Treaty Organization affecting significant financial and capital market interests, have been applied to the Auction participant;
- 6.3. The Commission secretary has the right not to authorize a participant for the Auction to whom any of the following conditions apply:
 - 6.3.1. the participant has tax debts (including mandatory state social insurance contribution debts) in Latvia or in the country where the Auction participant is registered or where its permanent residence is located, which in total exceed 150 euros in any of the countries;
 - 6.3.2. on the day of Auction registration, the Auction participant has unfulfilled debt obligations towards the Seller.
- 6.4. To become an Auction participant, a person must create an EAW account (the instructions for using EAW can be found on the website: <https://auctioncargo.ldz.lv/> under the section "User Guideline"), pay the security deposit, and send the documents referred to in Paragraph 6.5 of the Regulations to the Commission secretary via e-mail (indicated in Paragraph 10.1.2). After verifying the documents, the Commission secretary authorizes the Auction participant, and they will be able to register for the Auction.
- 6.5. Persons wishing to be authorized for participation in the Auction must send the following documents to the Commission secretary via e-mail by **July 22, 2026, at 12:00**:
 - 6.5.1. For legal entities registered in the Republic of Latvia:
 - 6.5.1.1. a power of attorney to represent the legal entity at the Auction, if the legal entity is not represented by a member of the executive body of the legal entity with separate representation rights (signed with a secure electronic signature);
 - 6.5.1.2. certified copies of all permits (licenses) allowing the participant to perform the sale, storage, purchase, etc., of scrap metal;
 - 6.5.1.3. a document certifying the payment of the security deposit.
 - 6.5.2. For legal entities registered in another country:

- 6.5.2.1. a copy of the registration certificate;
 - 6.5.2.2. the articles of association and/or the founder's (participants' / shareholders') decision on the appointment of members of the executive body of the legal entity with separate representation rights;
 - 6.5.2.3. copies of all permits (licenses) allowing the Auction participant to perform the sale, storage, purchase, etc., of scrap metal;
 - 6.5.2.4. a certificate issued by a competent institution in the country where the applicant is registered or where its permanent residence is located, confirming that the applicant does not have tax debts (including mandatory state social insurance contribution debts) in the respective country, which in total exceed 150 euros;
 - 6.5.2.5. a power of attorney to represent the legal entity, if the legal entity is not represented by a member of the executive body of the legal entity with separate representation rights (signed in PDF format with a secure electronic signature, indicating the website where the authenticity of the signature can be verified);
 - 6.5.2.6. a partner identification form for legal entities, in accordance with Annex 3 to the Regulations (signed in PDF format with a secure electronic signature, indicating the website where the authenticity of the signature can be verified);
 - 6.5.2.7. a document certifying the payment of the security deposit.
- 6.6. The documents referred to in Paragraphs 6.5.2.1 – 6.5.2.5 of the Regulations must be accompanied by a certified translation into Latvian or English.
- 6.7. The Commission secretary has the right not to authorize an applicant for participation in the Auction if any of the documents referred to in Paragraph 6.5 of the Regulations have not been submitted and/or the submitted document does not comply with the requirements of Paragraphs 6.5 and 6.6 of the Regulations.
- 6.8. After reviewing the documents submitted by the applicants, the Commission secretary, using the EAW, authorizes the Auction participant or informs the applicant about the refusal of authorization. Information regarding the result of the authorization shall be sent to the applicant's e-mail address specified in the EAW.
- 6.9. Following the conclusion of the Auction, the Commission secretary shall revoke the authorization status of all Auction participants.

7. Course of the Auction

- 7.1. The Auction bidding period for Lot No. 1 shall be 1 (one) hour from the starting time specified in Paragraph 1.5 of the Regulations. The bidding period for each subsequent lot shall close at a 10 (ten) minute interval after the closing of the previous lot.
- 7.2. The Auction bidding time on the EAW shall be automatically renewed up to 15 (fifteen) minutes from the time of the last bid, if bidders continue to submit bids 15 (fifteen) minutes before the end of the Auction, until the time the last bidder places their bid. The extension of the lot closing time in one lot (due to last-minute bids) shall not affect and shall not shift the bidding closing times of the subsequent lots.
- 7.3. After the end of the Auction, the EAW shall reflect the bidding time and the highest bid price. This information shall remain available on the EAW for another 30 (thirty) days after the closing of the Auction. The Auction time shall be extended until 13:00 of the next working day if, due to malfunctions of the EAW, bidding was not possible within the last 10 (ten) minutes before the closing of the Auction.
- 7.4. After the end of the Auction, the Commission secretary shall prepare minutes on the Auction results, appending the data received from the EAW regarding the bid increments and the bidders. The minutes shall be approved by the Commission.

- 7.5. If the bidder who bid the highest price (hereinafter – the Highest Bidder) refuses to conclude the purchase contract, the Seller has the right to invite the Auction participant who was outbid by the Highest Bidder to purchase the Scrap Metal.
- 7.6. The Auction shall be declared void if:
- 7.6.1. no participant has registered for the Auction;
 - 7.6.2. no registered participant bids the starting price of the Auction;
 - 7.6.3. information is received regarding significant legal or technical defects of the Auction object which were unknown until the commencement of the Auction and which could affect the ability of potential participants to make an informed decision regarding participation in the Auction;
 - 7.6.4. the Management Board of the Seller does not approve the Auction results;
 - 7.6.5. during the Auction or within 24 (twenty-four) hours after the closing of the Auction, a notification is received regarding significant malfunctions of the EAW that may affect the Auction results, or regarding breaches of the EAW security system.

8. Submission of Complaints, Approval of Auction Results, and Conclusion of the Contract

- 8.1. Auction participants have the right to submit a complaint in writing to the Commission regarding the course of the organization of the Auction. The Commission shall provide a written response within 3 (three) working days from the day of receipt of the complaint.
- 8.2. The Commission shall, no later than within 10 (ten) working days after the closing of the Auction, submit the Auction minutes to the Management Board of the Seller for approval.
- 8.3. Only after the approval of the Auction results by the Management Board of the Seller, the Highest Bidder or the Auction participant referred to in Paragraph 8.6 of the Regulations shall acquire the right to purchase the Scrap Metal. The Auction minutes approved by the Management Board of the Seller shall form the basis for the preparation and signing of the Scrap Metal purchase contract.
- 8.4. The Seller shall inform the Highest Bidder of the decision taken by the Management Board of the Seller, send the draft contract, and invite them to conclude the Scrap Metal purchase contract within 5 (five) working days.
- 8.5. If the purchase contract has not been signed within 5 (five) working days from the day of sending the draft contract, the Seller has the right to consider that the Highest Bidder has refused to purchase the Scrap Metal.
- 8.6. In the case referred to in Paragraph 8.5 of the Regulations, the Commission shall invite the next highest bidder to conclude the Scrap Metal purchase contract at the price offered by them at the Auction. In the event of consent by this Auction participant, Commission minutes shall be drawn up, indicating the said circumstances, and these minutes shall be submitted to the Management Board of the Seller for approval. If the Commission minutes are approved by the Management Board, the Scrap Metal purchase contract shall be concluded with the Auction participant specified in the decision of the Management Board.

9. Procedure for Payment of the Purchase Price and Other Payments

- 9.1. Following the mutual signing of the Scrap Metal purchase contract, the Seller, in accordance with the terms of the purchase contract, shall issue an invoice to the Buyer for the purchase of the Scrap Metal, which the Buyer shall pay in the amount and within the time limit specified in the Contract.
- 9.2. The Auction security deposit paid by the Buyer shall be credited towards the purchase amount.

10. Contact Persons of the Seller

- 10.1. Contact Persons of the Seller:

10.1.1. for technical matters – P.Poršņevs, phone: (+371) 27070954, e-mail: Pavels.Porsnevs@ldz.lv;

10.1.2. Secretary of the Commission – I.Stendzeniece, phone: (+371) 25719192, e-mail: iepirkumi.cargo@ldz.lv.

Annexes:

Annex 1 – Technical specification of the Scrap Metal;

Annex 2 – Draft contract;

Annex 3 – Partner identification and questionnaire form for legal entities.

Technical Specification of the Scrap Metal**Lot No.1**

No..	Wagon number	Type of wagon	Model	Date of manufacture	Weight of scrap metal, t	*Location in Latvia
1	19415314	Grain hopper	11-739	1977	21.3	Daugavpils
2	19415348	Grain hopper	11-739	1976	21.3	Daugavpils
3	19415355	Grain hopper	11-739	1977	21.3	Daugavpils
4	19415363	Grain hopper	11-739	1977	21.3	Daugavpils
5	19415371	Grain hopper	11-739	1977	21.3	Daugavpils
6	19415389	Grain hopper	11-739	1977	21.3	Daugavpils
7	19415397	Grain hopper	11-739	1978	21.3	Daugavpils
8	19426048	Grain hopper	11-739	1978	21.3	Daugavpils
9	19426394	Grain hopper	11-739	1979	21.3	Rīga-Preču Dz. būve piev.
10	19803014	Grain hopper	11-739	1978	21.3	Daugavpils
11	19803022	Grain hopper	11-739	1978	21.3	Rīga-Preču Dz. būve piev.
12	19864263	Grain hopper	11-739	1979	21.3	Rīga-Preču Dz. būve piev.
13	19864362	Grain hopper	11-739	1979	21.3	Rīga-Preču Dz. būve piev.
14	19864958	Grain hopper	11-739	1979	21.3	Rīga-Preču Dz. būve piev.
15	73116741	Tank wagon	15-1443	1977	22.5	Daugavpils
16	73118812	Tank wagon	15-1443	1977	22.5	Daugavpils
17	73120636	Tank wagon	15-1443	1978	22.5	Daugavpils
18	73120735	Tank wagon	15-1443	1977	22.5	Daugavpils
19	73122145	Tank wagon	15-1443	1977	22.5	Daugavpils
20	73122343	Tank wagon	15-1443	1977	22.5	Daugavpils
21	73122665	Tank wagon	15-1443	1977	22.5	Daugavpils
22	73134371	Tank wagon	15-1443	1978	22.5	Daugavpils
23	73137408	Tank wagon	15-1443	1978	22.5	Daugavpils
24	73140865	Tank wagon	15-1443	1978	22.5	Daugavpils
25	73143935	Tank wagon	15-1443	1979	22.5	Daugavpils
26	73144107	Tank wagon	15-1443	1979	22.5	Daugavpils
27	73144149	Tank wagon	15-1443	1977	22.5	Daugavpils
28	73145021	Tank wagon	15-1443	1978	22.5	Daugavpils
29	73145435	Tank wagon	15-1443	1979	22.5	Daugavpils
30	73146151	Tank wagon	15-1443	1979	22.5	Daugavpils
31	73543712	Tank wagon	15-1443	1977	22.5	Daugavpils
32	94511110	Fitting platform	13-470	1980	21.3	Daugavpils
33	94511409	Fitting platform	13-470	1980	21.3	Daugavpils
34	94511722	Fitting platform	13-470	1980	21.3	Rēzekne
35	94512001	Fitting platform	13-9004	1989	22	Daugavpils
36	94772639	Fitting platform	13-9004	1989	22	Izvalda
37	94772662	Fitting platform	13-9004	1989	22	Daugavpils
38	94772670	Fitting platform	13-9004	1989	22	Daugavpils
39	94796430	Fitting platform	13-9004	1990	22	Daugavpils
40	94796448	Fitting platform	13-9004	1990	22	Daugavpils

41	94796521	Fitting platform	13-9004	1990	22	Daugavpils
42	94796638	Fitting platform	13-9004	1990	22	Daugavpils
43	94799228	Fitting platform	13-9004	1990	22	Daugavpils
44	95037545	Grain hopper	11-739	1981	21.3	Daugavpils
45	95038576	Grain hopper	11-739	1981	21.3	Ventspils
46	95039368	Grain hopper	11-739	1981	21.3	Daugavpils
47	95039913	Grain hopper	11-739	1981	21.3	Daugavpils
48	95040119	Grain hopper	11-739	1981	21.3	Krustpils
49	95041844	Grain hopper	11-739	1981	21.3	Daugavpils
50	95043253	Grain hopper	11-739	1981	21.3	Daugavpils
51	95043543	Grain hopper	19-752	1982	21.3	Daugavpils
52	95044301	Grain hopper	11-739	1980	21.3	Daugavpils
53	95046132	Grain hopper	11-739	1982	21.3	Daugavpils
54	95046215	Grain hopper	11-739	1982	21.3	Daugavpils
55	95046652	Grain hopper	11-739	1982	21.3	Ventspils
56	95048088	Grain hopper	11-739	1980	21.3	Daugavpils
57	95066486	Grain hopper	19-752	1982	21.3	Daugavpils
58	95068169	Grain hopper	19-752	1982	21.3	Ventspils
59	95068326	Grain hopper	19-752	1982	21.3	Daugavpils
60	95069712	Grain hopper	19-752	1982	21.3	Rēzekne
61	95069746	Grain hopper	19-752	1982	21.3	Daugavpils
62	95070785	Grain hopper	19-752	1982	21.3	Daugavpils
63	95093316	Grain hopper	11-739	1981	21.3	Daugavpils
64	95486775	Grain hopper	11-739	1982	21.3	Daugavpils

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Lot No.2.

Nr.pk.	Vagona numurs	Vagona veids	Modelis	Uzbūves datums	Metāllužņu svars, t	*Atrašanās vieta Latvijā
1	24092462	Covered wagon	11-217	1984	23.2	Daugavpils
2	24092546	Covered wagon	11-217	1984	23.2	Daugavpils
3	24093064	Covered wagon	11-217	1984	23.2	Daugavpils
4	94509601	Fitting platform	13-470	1983	21.3	Krauža
5	94542735	Fitting platform	13-470	1984	21.3	Naujiene
6	94641461	Fitting platform	13-470	1985	21.3	Daugavpils
7	94641529	Fitting platform	13-470	1985	21.3	Daugavpils
8	94641578	Fitting platform	13-470	1985	21.3	Daugavpils
9	94709961	Fitting platform	13-9004	1986	22	Daugavpils
10	94710001	Fitting platform	13-9004	1986	22	Daugavpils
11	94729027	Fitting platform	13-9004	1987	22	Daugavpils
12	94748449	Fitting platform	13-470	1982	21.3	Krauža
13	94776838	Fitting platform	13-9004	1991	22	Daugavpils
14	94817665	Fitting platform	13-9004	1991	22	Daugavpils
15	94817673	Fitting platform	13-9004	1991	22	Daugavpils
16	95060786	Grain hopper	19-752	1983	21.3	Daugavpils
17	95064242	Grain hopper	19-752	1983	21.3	Daugavpils
18	95065629	Grain hopper	19-752	1983	21.3	Daugavpils
19	95083119	Grain hopper	19-752	1983	21.3	Meitene
20	95085353	Grain hopper	19-752	1983	21.3	Ventspils

21	95086922	Grain hopper	19-752	1983	21.3	Daugavpils
22	95088712	Grain hopper	19-752	1983	21.3	Daugavpils
23	95089926	Grain hopper	19-752	1983	21.3	Krustpils
24	95090015	Grain hopper	19-752	1983	21.3	Krustpils
25	95090056	Grain hopper	19-752	1983	21.3	Daugavpils
26	95091278	Grain hopper	19-752	1983	21.3	Daugavpils
27	95127072	Grain hopper	19-752	1984	21.3	Meitene
28	95127908	Grain hopper	19-752	1984	21.3	Krustpils
29	95127957	Grain hopper	19-752	1984	21.3	Krustpils
30	95128013	Grain hopper	19-752	1984	21.3	Meitene
31	95128062	Grain hopper	19-752	1984	21.3	Daugavpils
32	95128468	Grain hopper	19-752	1984	21.3	Krustpils
33	95129565	Grain hopper	19-752	1984	21.3	Šķīrotava
34	95132981	Grain hopper	19-752	1984	21.3	Krustpils
35	95133229	Grain hopper	19-752	1984	21.3	Daugavpils
36	95408043	Grain hopper	19-752	1985	21.3	Jelgava
37	95408068	Grain hopper	19-752	1985	21.3	Daugavpils
38	95408100	Grain hopper	19-752	1985	21.3	Daugavpils
39	95408266	Grain hopper	19-752	1985	21.3	Daugavpils
40	95408365	Grain hopper	19-752	1985	21.3	Daugavpils
41	95408704	Grain hopper	19-752	1985	21.3	Krustpils
42	95408753	Grain hopper	19-752	1985	21.3	Šķīrotava
43	95408787	Grain hopper	19-752	1985	21.3	Krustpils
44	95459533	Grain hopper	19-752	1985	21.3	Daugavpils
45	95459582	Grain hopper	19-752	1985	21.3	Daugavpils
46	95459608	Grain hopper	19-752	1985	21.3	Daugavpils
47	95459673	Grain hopper	19-752	1985	21.3	Daugavpils
48	95459764	Grain hopper	19-752	1985	21.3	Krustpils
49	95459814	Grain hopper	19-752	1985	21.3	Rēzekne
50	95486429	Grain hopper	19-752	1986	21.3	Daugavpils
51	95486445	Grain hopper	19-752	1986	21.3	Daugavpils
52	95486528	Grain hopper	19-752	1986	21.3	Rēzekne
53	95486635	Grain hopper	19-752	1986	21.3	Šķīrotava
54	95486676	Grain hopper	19-752	1986	21.3	Rēzekne
55	95486700	Grain hopper	19-752	1986	21.3	Daugavpils
56	95486783	Grain hopper	19-752	1986	21.3	Krustpils
57	95486809	Grain hopper	19-752	1986	21.3	Krustpils
58	95486940	Grain hopper	19-752	1986	21.3	Daugavpils
59	95486981	Grain hopper	19-752	1986	21.3	Ventspils
60	95487070	Grain hopper	19-752	1986	21.3	Krustpils
61	95548616	Grain hopper	19-752	1986	21.3	Šķīrotava
62	95548624	Grain hopper	19-752	1986	21.3	Ventspils
63	95548681	Grain hopper	19-752	1986	21.3	Krustpils
64	95548715	Grain hopper	19-752	1986	21.3	Šķīrotava
65	95549036	Grain hopper	19-752	1986	21.3	Daugavpils
66	95652350	Grain hopper	19-752	1984	21.3	Daugavpils

Lot No.3

Nr.pk.	Vagona numurs	Vagona veids	Modelis	Uzbūves datums	Metāllužņu svars, t	*Atrašanās vieta Latvijā
1	24209728	Covered wagon	11-217	1985	23.2	Daugavpils
2	24461139	Covered wagon	11-217	1985	23.2	Daugavpils
3	24478141	Covered wagon	11-270	1987	23.2	Daugavpils
4	24478174	Covered wagon	11-270	1987	23.2	Daugavpils
5	24478331	Covered wagon	11-270	1987	23.2	Daugavpils
6	24478349	Covered wagon	11-270	1987	23.2	Daugavpils
7	24478463	Covered wagon	11-270	1987	23.2	Daugavpils
8	24478513	Covered wagon	11-270	1987	23.2	Daugavpils
9	39480900	Heavy-duty flatcar	14-6056	1982	54	Daugavpils
10	94752979	Fitting platform	13-9004	1988	22	Daugavpils
11	94752987	Fitting platform	13-9004	1988	22	Daugavpils
12	94753019	Fitting platform	13-9004	1988	22	Daugavpils
13	94753035	Fitting platform	13-9004	1988	22	Daugavpils
14	94753068	Fitting platform	13-9004	1988	22	Daugavpils
15	94753084	Fitting platform	13-9004	1988	22	Daugavpils
16	94753100	Fitting platform	13-9004	1988	22	Ilukste
17	94753142	Fitting platform	13-9004	1988	22	Ilukste
18	94753175	Fitting platform	13-9004	1988	22	Daugavpils
19	94772407	Fitting platform	13-9004	1989	22	Daugavpils
20	94772423	Fitting platform	13-9004	1989	22	Daugavpils
21	94772456	Fitting platform	13-9004	1989	22	Izvalda
22	94772464	Fitting platform	13-9004	1989	22	Daugavpils
23	94772480	Fitting platform	13-9004	1989	22	Krauža
24	94772514	Fitting platform	13-9004	1989	22	Izvalda
25	94772589	Fitting platform	13-9004	1989	22	Daugavpils
26	94817707	Fitting platform	13-9004	1991	22	Krauža
27	94817715	Fitting platform	13-9004	1991	22	Daugavpils
28	94817780	Fitting platform	13-9004	1991	22	Daugavpils
29	94817806	Fitting platform	13-9004	1991	22	Krauža
30	95573887	Grain hopper	19-752	1987	21.3	Krustpils
31	95574182	Grain hopper	19-752	1987	21.3	Ventspils
32	95574570	Grain hopper	19-752	1987	21.3	Šķirotava
33	95716924	Grain hopper	19-752	1988	21.3	Krustpils
34	95762852	Grain hopper	19-752	1989	21.3	Krustpils

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**Location – information may be updated prior to the conclusion of the scrap metal purchase contract.*

Riga, 2026 _____

The date of the contract is the date of the last added secure electronic signature and timestamp.

CONTRACT No. _____

Limited Liability Company LDZ CARGO, registration No. 40003788421 (hereinafter – the Seller), represented by the Chairman of the Management Board Raimonds Freimanis and the Member of the Management Board _____, acting on the basis of Power of Attorney No.K-7.1/37-2026 dated 15.06.2026, on the one hand, and

_____, registration No. _____ (hereinafter – the Buyer), represented by its _____, acting on the basis of _____, on the other hand, both hereinafter jointly referred to as the Parties, enter into this purchase contract (hereinafter – the Contract):

1. Subject of the Contract

- 1.1. In accordance with the procedures set forth in this Contract, the Seller sells and transfers to the Buyer, and the Buyer purchases and accepts from the Seller, _____ tons of scrap metal, consisting of _____ freight wagons unfit for operation (hereinafter – the Scrap Metal), at the price determined in the auction organized by the Seller on _____, 2026 _____ (held on the electronic auction website <http://auctioncargo.ldz.lv>), in accordance with the technical specification of the scrap metal (Annex 1 to the Contract).
- 1.2. The Buyer certifies and warrants that it holds all permits and/or licenses required by the regulatory enactments governing the waste management sector, enabling the Buyer to ensure the performance of the obligations specified in this Contract (including the right to purchase, collect, transport, store, manage the Scrap Metal, and carry out other activities specified in the license/permit).

2. Purchase Price

- 2.1. In accordance with the results of the auction organized by the Seller on _____, 2026, **the Purchase Price for the Scrap Metal is _____ EUR** (euros and ____ cents) excluding value added tax (hereinafter – VAT). VAT is applied in accordance with Section 143 of the Value Added Tax Law "Special tax application regime for the supply of scrap metal and related services" *(the clause to be adjusted depending on the Buyer's country of registration)*.
- 2.2. The Buyer has paid a security deposit in the amount of _____ EUR (_____ euros and 00 cents), which is credited towards the purchase price specified in Clause 2.1 of the Contract.
- 2.3. The Buyer shall pay the remaining part of the purchase price, amounting to _____ EUR (_____ euros and ____ cents), to the Seller within the time limit specified in Clause 3.2 of the Contract.

3. Payment Terms

- 3.1. Within **5 (five) working days** after mutual signing of the Contract, the Seller shall issue an invoice to the Buyer in the amount of the purchase price specified in Clause 2.3 of the Contract. The Seller shall prepare the invoice electronically and send it from the Seller's email: cargo.rekini@ldz.lv to the Buyer's email: _____. The invoice shall be deemed valid without a signature.
- 3.2. The Buyer undertakes to pay the Seller for the Scrap Metal within **10 (ten) working days** from the date of issuance of the Seller's invoice. The payment calculation period starts on the day following the issuance of the invoice. The purchase price shall be deemed received on the day it is credited to the bank account specified by the Seller.

- 3.3. In case of delay of the payment term specified in Clause 3.2 of the Contract, the Seller has the right to calculate a contractual penalty for the Buyer in the amount of 0.1% (zero point one percent) of the overdue amount for each day of delay, but not exceeding 10% (ten percent) of the overdue amount, excluding VAT.
- 3.4. Ownership rights to the Scrap Metal shall transfer to the Buyer upon full payment of the amount specified in the invoice.

4. Scrap Metal Sale Procedure

- 4.1. The Seller authorizes the employee responsible for the transaction, **Pavels Porsnevs** (phone: +371 27070954, email: Pavels.Porsnevs@ldz.lv) or, in the absence of Pavels Porsnevs, his acting proxy, to sign the scrap metal handover-acceptance certificates on behalf of the Seller.
- 4.2. The employee responsible for the transaction shall determine the specific scrap metal handover-acceptance time no later than 1 (one) working day prior to the acceptance of the scrap metal.
- 4.3. The Parties agree on the removal of the scrap metal from the Seller's territory, the removal schedule, and the method, in compliance with the time limit set in Clause 4.6 of the Contract.
- 4.4. All expenses related to the transportation of the scrap metal from the Seller's territory shall be borne by the Buyer. Upon the Buyer's request, the Seller may offer transportation services.
- 4.5. The date of acceptance of the scrap metal shall be deemed the day on which the scrap metal is removed from the Seller's territory for delivery to the location chosen by the Buyer.
- 4.6. The Buyer undertakes to remove the scrap metal from the Seller's territory no later than within **45 (forty-five) calendar** days from the day of mutual signing of the Contract. The removal shall take place according to a mutually agreed removal schedule. In case of justified necessity, the Parties may agree on an extension of the removal period, not exceeding 30 (thirty) days, provided that the Buyer undertakes to pay the storage fee specified in Clause 4.7 of the Contract starting from the 46th (forty-sixth) day.
- 4.7. If the Buyer fails to remove the scrap metal from the Seller's territory within the time limit specified in Clause 4.6 of the Contract, the scrap metal shall be deemed transferred to the Seller for safekeeping, and starting from the next day of delay, the Buyer undertakes to pay the Seller a scrap metal storage fee of 1.50 EUR (one euro and 50 cents) per wagon for each 24 hours, plus VAT.

5. Representations and Liability

- 5.1. The Buyer warrants that it shall pay the purchase price specified in Clause 2.1 of the Contract within the time limit and in the amount set forth in the Contract.
- 5.2. The Buyer shall remove the Scrap Metal from the Seller's territory fully intact at its own expense, in compliance with the time limit specified in Clause 4.6 of the Contract. At the moment of removal, the Buyer assumes full liability for the further status of the wagons and the fulfillment of the disposal requirements outlined in this Contract.
- 5.3. The Buyer undertakes to carry out the complete dismantling and cutting into scrap metal of the freight wagons specified in Annex 1 to the Contract no later than **within __ (_____) calendar days** from the day of mutual signing of the scrap metal handover-acceptance certificate. The structures of the freight wagons and their parts must be deformed to such an extent that, for safety reasons, they can no longer be used as vehicles or their load-bearing parts.
- 5.4. Given that the freight wagons unfit for operation specified in Annex 1 to the Contract are sold as scrap metal, the Buyer is obliged to fully and physically destroy the wagon identification numbers during the dismantling process of the freight wagons (by cutting out the number plates/frame parts with numbers, the numbers of bogie components, and the wheelset axle numbers) to prevent any possibility of their reuse or counterfeiting on other rolling stock.

- 5.5. The Buyer undertakes to submit to the Seller a written confirmation regarding the fulfillment of the requirements outlined in Clauses 5.3 and 5.4 of the Contract no later than within **1 (one) month** after the fulfillment of the obligations specified in the said clauses.
- 5.6. The Buyer is prohibited from further selling, donating, leasing, or in any other way transferring whole wagons or parts thereof to third parties for the purpose of performing rail freight transport or renewing a railway fleet.
- 5.7. If the Buyer transfers whole wagons or parts thereof to a third party (subcontractor) solely and exclusively for the purpose of dismantling and cutting, the Buyer shall be fully and jointly liable for ensuring that this third party complies with all disposal conditions and time limits specified in these provisions.
- 5.8. All risks of destruction, damage, theft, and loss of the Scrap Metal shall transfer from the Seller to the Buyer from the moment the Scrap Metal is removed from the Seller's territory for delivery to the location chosen by the Buyer, as evidenced by a scrap metal handover-acceptance certificate signed by the Parties.
- 5.9. The Buyer undertakes to comply with safety engineering, labor protection, and fire safety regulations within the territory of the Seller (Clause 4.3 of the Contract).
- 5.10. The Buyer represents and warrants that it:
- 5.10.1. shall comply with the regulatory enactments of the Republic of Latvia, the European Union, and the United Nations (including laws, regulations, decisions, resolutions) which include and/or are related to the application of sanctions and other restrictions regarding a number of persons, jurisdictions, and territories, including, but not limited to: Iran, Syria, North Korea, Crimea, North Sudan;
 - 5.10.2. shall not engage in, shall terminate, and shall not maintain business relations with persons who violate the sanctions and restrictions referred to in Clause 5.10.1 of the Contract;
 - 5.10.3. shall submit information and documents related to the economic and professional activities of the Buyer upon the first written request of the Seller, in the specified format and within the specified time;
 - 5.10.4. shall submit a written confirmation regarding the fulfillment of the requirements outlined in Clauses 5.3 and 5.4 of the Contract within the time limit specified in Clause 5.5 of the Contract.
- 5.11. The Buyer acknowledges and confirms that in the event of violation of the provisions of Clauses 5.9 and 5.10 of the Contract:
- 5.11.1. the Seller has the right to suspend the performance of obligations assumed under the Contract, as well as to immediately and unilaterally terminate the Contract and all other legal relations with the Buyer, and to perform other actions provided for in regulatory enactments and existing other contractual relations with the Buyer;
 - 5.11.2. the Buyer is fully liable and undertakes to cover all losses incurred by the Seller as a result of such violations due to the fault of the Buyer.
- 5.12. If the Buyer fails to comply with the provisions of Clause 5.4 and/or 5.6 of the Contract and the Seller has established that parts of the freight wagons unfit for operation are reused for performing rail freight transport and/or renewing a railway fleet, the Buyer shall pay the Seller a contractual penalty of 750 EUR (seven hundred fifty euros) for each instance of violation.
- 5.13. If the Buyer fails to comply with the provisions of Clause 5.4 and/or 5.6 of the Contract and the Seller has established that the freight wagons unfit for operation are reused for performing rail freight transport and/or renewing a railway fleet, the Buyer shall pay the Seller a contractual penalty of 5,000 EUR (five thousand euros) for each instance of violation.
- 5.14. Payment of the contractual penalty specified in the Contract shall not release the Buyer from the obligation to compensate for losses and the obligation to perform the commitments outlined in the Contract.

6. Settlement of Disputes

- 6.1. Obligations of the Parties arising from the Contract shall be governed by the regulatory enactments of the Republic of Latvia.
- 6.2. All disputes and disagreements that may arise from or in connection with the Contract shall be resolved by the Parties through mutual negotiations.
- 6.3. Each Party is entitled to send a written claim to the other Party in accordance with the procedures outlined in Clause 12.4 of the Contract. The claim must be substantiated and supported by documentation. The Parties agree that claims shall be reviewed within no longer than 10 (ten) days from the moment of their receipt.
- 6.4. If the Parties are unable to agree on the settlement of the dispute through negotiations within 1 (one) month from the moment the dispute arose, the dispute shall be settled in a court of the Republic of Latvia in accordance with the procedures outlined in the regulatory enactments of the Republic of Latvia.

7. Term and Termination of the Contract

- 7.1. The Contract shall enter into force on the day of its mutual signing and shall remain in force until **December 31, 2026**. With respect to unfulfilled obligations of the Parties, claims for compensation of losses, and payment of contractual penalties, the Contract shall remain in force until the moment the Parties have fully and properly fulfilled the obligations arising from the Contract.
- 7.2. The Contract may be terminated by mutual written agreement of the Parties.
- 7.3. The Seller is entitled to unilaterally terminate the Contract in any of the following cases:
 - 7.3.1. if the Buyer has become a tax debtor or the insolvency proceedings of the Buyer have been declared, its economic activity has been suspended or terminated, liquidation proceedings of the Buyer have been initiated, or the economic activity of the Buyer has been suspended, in compliance with the provisions of Section 34.1 of the Law "On Taxes and Fees";
 - 7.3.2. if the Contract cannot be performed because international or national sanctions, or sanctions imposed by a member state of the European Union or the North Atlantic Treaty Organization significantly affecting financial and capital market interests, have been applied to the Buyer;
 - 7.3.3. the Buyer fails to perform or improperly performs the obligations outlined in the Contract and does not remedy the violation within the time limit specified in the Seller's written warning regarding the breach of Contract, which shall not be shorter than 10 (ten) calendar days.
- 7.4. If the Contract is terminated in accordance with the provisions of Clause 7.3, the Seller shall send a written notice thereof to the Buyer in accordance with the procedures outlined in Clause 12.4 of the Contract, and the Contract shall be terminated immediately.

8. Confidentiality

- 8.1. The terms of the Contract, as well as information related to the cooperation of the Parties or which has come into the possession of the Parties as a result of the performance of the Contract, shall be considered a commercial secret of the Parties and shall not be disclosed to third parties without the prior written consent of the other Party during the term of the Contract and thereafter. This obligation shall not apply to publicly available information and information to be disclosed to the relevant state institutions in accordance with the effective regulatory enactments, provided that it is supplied to these institutions.
- 8.2. The receiving Party undertakes to use the information containing the commercial secret of the other Party solely for the purpose specified in Clause 1.1 of the Contract, respecting the commercial interests of the Parties and this confidentiality obligation.

- 8.3. The Buyer is not entitled to process information received from the Seller within the scope of the performance of the Contract using artificial intelligence or machine learning tools without the prior consent of the Seller, whereby the Seller's information is transferred, stored, processed, or becomes available outside the environment controlled by the Seller. Such action shall be deemed an unauthorized disclosure of information to third parties and a breach of the Contract.

9. Protection of Personal Data

- 9.1. The Parties confirm that they are informed that personal data submitted by one Party, if necessary for the performance of the Contract, may be processed only in accordance with the subject matter of the Contract, to the extent specified in the Contract, for the duration of the Contract, and only in accordance with the requirements of the effective legal acts.
- 9.2. The Parties shall ensure that the employees designated as contact persons in this Contract are informed about the right to transfer their contact information within the framework of employment relations and to ensure the performance of professional duties, as well as about the rights of employees as data subjects in accordance with the effective legal and regulatory enactments in the field of personal data protection.
- 9.3. The Parties undertake to ensure a level of protection for the personal data submitted by the other Party that complies with the effective legal acts.
- 9.4. The Parties undertake not to transfer the personal data submitted by the other Party to third parties. If such an obligation may arise for the Parties in accordance with the effective legal acts, they shall inform the other Party thereof before the transfer of personal data, unless prohibited by the effective legal acts.
- 9.5. Each of the Parties is independently liable to the Data Subject for non-compliance with the rules on personal data protection and processing and, if the liability of a Party is established, that Party must satisfy the claims of the Data Subject related to the personal data breach and its remediation, as well as pay administrative fines associated with the personal data breach and compensate for the amounts of losses awarded by a court judgment.
- 9.6. The Parties undertake to destroy the personal data submitted by the other Party as soon as the need to process them expires.

10. Business Ethics

- 10.1. By signing the Contract, the Buyer confirms that it has familiarized itself with the "Basic Principles of Business Ethics for Cooperation Partners of the 'Latvijas dzelzceļš' Concern" (hereinafter – the Basic Principles) published on the website of the "Latvijas dzelzceļš" concern www.ldz.lv, complies with them, and undertakes to continue to strictly observe them itself and to ensure that they are observed by its employees and subcontractors involved in the performance of the Contract.
- 10.2. The Buyer is obliged to immediately inform the Seller if a situation is identified where any of the Basic Principles have been violated, as well as to inform about the measures being taken to resolve the situation and prevent its recurrence in the future. In the event that such information is not provided, but the Seller becomes aware that the Buyer has violated any of the Basic Principles, further cooperation will be evaluated in accordance with the procedure and to the extent specified by the law of the Republic of Latvia.
- 10.3. If, within the framework of the performance of the Contract, the Buyer comes into possession of information or has reasonable suspicion that an employee of the Seller's company, personally or through an intermediary, requests, accepts, or offers any kind of material values, property, or other benefits to any persons with the intent to achieve specific unlawful decisions, obtain unlawful benefits or advantages, or achieve another self-serving goal in the personal interests of the employee, the Seller, or any other persons, the Buyer is obliged to immediately inform the Security Directorate of the dominant company of the "Latvijas dzelzceļš" concern, using the reporting options on the concern's website www.ldz.lv. The notification must include information, facts, or materials that reliably indicate the said actions

or provide a reasonable ground for suspicion of such actions. "Latvijas dzelzceļš" guarantees that the information will be comprehensively and objectively evaluated, and no unjustified negative consequences or actions will be directed against the whistleblower, the company they represent, or its other employees.

11. Force Majeure

- 11.1. If either Party is unable to perform its obligations under this Contract, in whole or in part, due to the following circumstances – fire, natural disaster, war, blockades, export or import bans on goods, and similar circumstances, the time limits for the performance of the contractual obligations of the Parties shall be extended accordingly for the duration of the operation of these circumstances.
- 11.2. The Party for which the performance of the contractual obligations has become impossible shall notify the other Party in writing of the commencement of the operation of the aforementioned circumstances, submitting relevant evidence, and of their cessation no later than within 5 (five) working days.
- 11.3. If the performance of the Contract is impossible for more than 30 (thirty) days due to force majeure circumstances, each of the Parties has the right to unilaterally terminate the Contract. If the Contract is terminated in this manner, neither Party has the right to demand compensation for losses from the other Party.

12. Miscellaneous Provisions

- 12.1. Any amendments and supplements to the Contract shall be executed in writing and, upon mutual signing, shall be attached to this Contract as an integral part thereof.
- 12.2. The Buyer is not entitled to transfer the rights and obligations outlined in this Contract to third parties, in whole or in part, without the prior written consent of the Seller.
- 12.3. The Parties shall immediately inform each other in writing of any changes to their details by a letter signed by a person with representation rights (an authorized signatory) of the respective Party in the company.
- 12.4. The Parties agree that any notice, request, or other information and communication to be provided, requested, or permitted under this Contract shall be submitted in writing and will be deemed submitted if they are:
 - 12.4.1. submitted in person or delivered by a courier or delivery service provider – on the day of actual delivery, as evidenced by the other Party's confirmation of receipt of the document;
 - 12.4.2. sent by registered mail to the legal address of the other Party – on the seventh day after the date indicated on the postal stamp regarding the acceptance of the registered item for dispatch;
 - 12.4.3. sent to the email address of the other Party indicated in the details of the Contract – on the second working day.
- 12.5. In the cases referred to in Clause 12.4 of the Contract, if the documents are submitted in accordance with the aforementioned on a day that is not a working day or after normal working hours, they shall be deemed received on the next working day. Within the meaning of this Contract, a working day is a day from Monday to Friday, except where the respective day is a weekend or a public holiday in accordance with the regulatory enactments of the Republic of Latvia.
- 12.6. Reorganization of the Parties or a change of their management cannot serve as a ground for the termination of the Contract. In any case, and in the event that either Party is reorganized or liquidated, the Contract shall remain in force, and its terms shall be binding upon the legal successor of the Party.
- 12.7. Matters not covered by this Contract shall be resolved by the Parties in accordance with the regulatory enactments in force in the Republic of Latvia.

- 12.8. The Contract is drawn up in the Latvian language (with an English translation, if necessary) in the form of an electronic document, signed together with Annex 1 with a secure electronic signature containing a timestamp. The date of signing of the Contract is the date of the last added secure electronic signature and its timestamp.
- 12.9. On the day of its signing, Annex 1 is attached to the Contract, which is an integral part of the Contract – "Technical Specification of Scrap Metal" on _ pages.

8. Pušu rekvizīti un paraksti

THE SELLER:

LDZ CARGO Ltd.
Registration No. 40003788421
Dzirnavu Street 147 k-1, Riga, LV-1050,
Latvia
AS Luminor Bank
Account number: LV08RIKO0000082999854
SWIFT code: RIKOLV2X.
Communication:
phone _____;
fax _____,
e-mail: _____

/...../_____

/...../_____

THE BUYER:

Name / first and last name:
Registration No. / personal ID number:
Legal address / declared address:
Bank:
Account number:
SWIFT code:
Communication: phone

; fax _____,
e-mail: _____

/...../_____

The Contract has been signed with a secure electronic signature and contains a timestamp.

Technical Specification of Scrap Metal

*To be completed in accordance with Annex 1 to the Electronic Auction (Id. No. REAL 2026/13)
Regulations for the Sale of Scrap Metal*

**COOPERATION PARTNER'S IDENTIFICATION FORM
FOR LEGAL ENTITIES**

In accordance with the objectives of the Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing and the requirements for monitoring transactions of the subjects of the law (including credit institutions of the Republic of Latvia) in order to prevent possible risks related to money laundering and terrorism and proliferation financing, please submit the necessary information by filling out this form for **LDZ CARGO Ltd.**:

1. Name of legal entity (including members of a partnership):

2. Registration number/ equivalent:

3. Country of registration:

4. Persons entitled to represent:

Name, surname, personal number of the person entitled to representation; if the person does not have a personal number, there has to be an analogue, for example, date of birth, month, year, citizenship (nationality)¹.

5. The Board:

Name, surname, personal number of the chairperson of the board/member of the board; if the person does not have a personal number, there has to be an analogue, for example, date of birth, month, year, citizenship (nationality)¹.

6. Council (if established):

Name, surname, personal number of the chairperson of the council/member of the council; if the person does not have a personal number, there has to be an analogue, for example, date of birth, month, year, citizenship (nationality)¹.

7. Beneficial owner/s ²:

Within the meaning of the Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing, a *beneficial owner* is a *natural person* who is the owner of the legal entity, or on whose behalf, for the benefit, in the interest of which the business relationship is established or the transaction is carried out, and is at least:

a) A natural person who owns, in the form of direct or indirect shareholding, more than 25% of the capital shares of voting stock of the customer's company

b) A natural person who directly or indirectly exercises control of the company's operations
Name, surname, personal number (if the person does not have a personal number, there has to be an analogue, for example, date of birth, month, year), citizenship (nationality)¹, the beneficial owner directly or indirectly owns more than 25% of the legal entity's capital shares/voting stock.

I/we hereby confirm that, using all possible means of determination, we have concluded that it is not possible to identify any natural person who is a beneficial owner within the meaning of Section 1, Paragraph 5 of the Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing, and doubts that the legal person has a beneficial owner have been excluded.

A beneficial owner cannot be identified because the cooperation partner is:

- ☐ A derived public entity
- ☐ An institution of direct or indirect administration
- ☐ A capital company controlled by the State or a local government
- ☐ A merchant whose stocks are admitted to trading on a regulated market

8. What is the company's line of business? Please provide a description of the company (website address, location of branches and production facilities, if any):

9. Has there been any change in shareholders or participants in your company within the last three years?

☐ Yes (please specify): _____

☐ No

10. By signing this identification and survey form, the Cooperation Partner hereby confirms that, in the event of any changes to the information provided in the form, the Partner shall immediately notify the Company by submitting the updated information to the Company by sending the latest information to the registered office or email cargo@ldz.lv of LDZ CARGO Ltd.

Legal representative:	The status of the person (Member of the Board, Procurator, authorized person, other)
	Name, surname
	Signature ³
	Date

¹ Personal data mentioned in this paragraph are processed by the "Latvijas dzelzceļš" Group pursuant to Regulation (EU) 2016/679 of the European Parliament and of the Council (27 April 2016) On the Protection of Natural Persons with Regard to the Processing of Personal Data and the Free Movement of Such Data (hereinafter referred to as GDPR):

1/ Based on requirements of Article 6, Paragraph 1 b) of GDPR, for commencing contractual relations and ensuring performance of the contract at the request of the data subject (party to the contract),

2/ Based on requirements of Article 6, Paragraph 1 c) of GDPR, for compliance with a legal obligation to which the controller (LDZ) is subject, namely, to examine its cooperation partners in accordance with the Law on International Sanctions and National Sanctions of the Republic of Latvia and the Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing,

3/ Based on requirements of Article 6, Paragraph 1 e) of GDPR, for the performance of a task carried out in the public interest, namely, for the prevention of terrorism and reducing the risk of fraud.

² Within the meaning of Section 1, Paragraph 5) of the Law on the Prevention of Money Laundering and Terrorism and Proliferation Financing.

³ Or an electronic signature.